Form PTO-1449 (Modified) SERIAL NO. ATTY, DOCKET NO. 09/697,703 301 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Mbdiffied) PATENT AND TRADEMARK OFFICE **APPLICANT: Michael Taylor** INFORMATION DISCLOSURE NOV 0 7 2002 STATEMENT BY APPLICANT FILING DATE: GROUP: 2633 10/27/00 (Use several sheets if necessary) 1.98(b)) **U.S. PATENT DOCUMENTS** FILING DATE **ISSUE EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER DATE **PATENTEE** CLASS INITIAL 161 XX 359 3 0 7 6 10/10/00 Cao 6 1 NOV 1 3 2002 Technology Center 2600 FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION **SUBCLASS TRANSLATION COUNTRY OR CLASS** PUBL. DOCUMENT NUMBER YES NO PATENT OFFICE DATE H04B 10/18 7 5 10.12.97 EP 0 8 1 2 0 10/08 H04B 8 7 1 08.03.00 EP 0 9 4 5

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

H. Rosenfeldt, et al., "First Order PMD-Compensation in a 10 Gbit/s NRZ Field Experiment Using a Polarimetric Feedback-Signal," ECOC, pp. II-134 – II-135, 1999

H. Rosenfeldt, et al., "PMD Compensation in 10 Gbit/s NRZ field experiment using polarimetric error signal," Electronics Letters, vol. 36, no. 5, 2000

PCT, "International Search Report"

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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